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3/2/04  
Date

Jason Liu  
Name(print)

3/11/04  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: DeAddio et al.

Examiner:

D. Felten

Title: Object Oriented System for  
Managing Complex Financial  
Instruments

Serial No.:

09/127,341

Filed: July 31, 1998  
Ref. No.: 11021.0001

Group Art Unit: 3624

**AMENDMENT**

**RECEIVED**

MAR - 9 2004

**GROUP 3600**

To: Mail Stop Non-Fee Amendment

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed December 2, 2003, please amend the above-identified application as set forth below. The amendments to the Specification are on page 2 below, the amendments to the claims are on pages 3-4 below, Applicant's request for consideration of the previously submitted Information Disclosure Statement is on page 5 below, and the remarks begin on page 6 below.

No fee is due herewith.